

# VOLUNTARY

ANNEXURE I

## POWER OF ATTORNEY

(Stamp duty applicable as on date)  
(only for clients having DP with BFSL)

KNOWALL MEN BY THESE PRESENTS THAT I/WE

Mr./Mrs/M/s. \_\_\_\_\_ with Beneficiary

Owner's client id \_\_\_\_\_ and bank account no. \_\_\_\_\_  
(operative towards the conditions restricted in the POA), an individual/ firm/ corporate having his/ her/

its residence/ registered office at \_\_\_\_\_

\_\_\_\_\_ (hereinafter referred to as '**the client**') wish to avail / have availed the Broking/ E broking / Demat facilities and other services offered (hereinafter referred to as '**services**') by BgSE Financials Ltd (hereinafter '**BFSL**'), a company incorporated under The Companies Act, 1956 and having its registered office at No. 51, Stock Exchange Towers, 1st Cross, J. C. Road, Bangalore 560027.

**WHEREAS** BFSL is registered as a Trading Member/ Stock Broker of the National Stock Exchange of India Ltd (NSE) and Bombay Stock Exchange Ltd (BSE) and as a Depository Participant of the National Securities Depository Ltd (NSDL) with the Securities and Exchange Board of India (SEBI).

**WHEREAS**, the bank and demat account details of BFSL are as hereunder:

BFSL-POOL & BEN ACCOUNT DETAILS-IN302148				
	CMBP ID	POOL A/C	BEN A/C	BANK A/C
NSE	IN-559353	10010814	10520008	
BSE	IN-652381	10010822	10521384	
BFSL (CDSL) CLEARING MEMBER ACCOUNT				
NSECM A/C-1601480000499284 BSE CM A/C-1601480000499299				

**WHEREAS** in the course of availing the services and for meeting the obligations (settlement or otherwise) as set forth herein in compliance with the necessary guidelines, acts, rules and regulations imposed in this behalf by NSE/ BSE/ NSDL/SEBI and or the any other Regulatory Authority, I/we do hereby nominate, constitute and appoint BFSL as my/ our true and lawful attorneys to do, execute and perform the following acts, deeds, matters and things, provided BFSL complies with all the applicable conditions of all or any of their services offered by BFSL in their capacity as Stock Broker/ Trading Member/ Depository Participant or otherwise or by any of their associates offering similar services:

1. To operate the bank/ demat account towards the following:
  - a. Transfer of securities towards the stock exchange related margin/ delivery / settlement obligations arising out of trades executed by me/ us on the stock exchange (s) through BFSL in above said Pool A/c and BenA/c only;
  - b. Pledge the securities with BFSL / Stock Exchanges (s) for the limited purpose of meeting the my/our margin requirements in connection with the trades executed by me/ us on the stock exchange through BFSL;
  - c. To apply for various products like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers, redemption, any other investment products offered through the website as per the instructions made available to them by me/ us by electronic mail or through any other mode as specified etc. pursuant to my/our instructions;

2. To operate the Bank / Demat account towards the following:
  - a. For meeting my/ our settlement obligations / margin requirements in connection with the trades executed by me/ us on the Stock exchange through BFSL;
  - b. For receiving any outstanding amount due from me/ us arising out of my/our trading activities on the Stock exchange through BFSL;
  - c. For meeting obligations arising on account of I/we subscribing to such other products/ facilities/ services through the BFSL like Mutual Fund, Public Issues (shares as well as debentures), rights, offer of shares in etc;
  - d. Towards monies/ fees/ charges etc due to BFSL in their capacity as Stock Broker/ Trading Member/ Depository Participant or otherwise - payable by virtue of I/ we using / subscribing to any of the facilities/ services availed by me/ us at my/ our instance;
3. To forward all such applications placed through the website to the online-IPO module of the concerned Exchange or owner/issuer of "Investment Product";
4. To do all other acts and things as may be necessary to affect the subscription/ purchase/ redemption or any other transaction in any investment products for which services have been availed from BFSL by me/us;
5. For these purposes and to this extent, BFSL is empowered by me/us to affix their signatures to any document, form or any other record, being a delivery instruction given by me/us to the said Depository Participant, as required by the concerned Depository;
6. To register this Power of Attorney with the Bank and the BgSE Financials Ltd as Depository Participant and Trading member.
7. To return to me/us the securities and funds that may have been received by BFSL erroneously or those securities or fund that BFSL was not entitled to receive from me/us;
8. To send a consolidated summary of my/our scrip wise buy and sell positions taken with average rates to the client by way of SMS/ email on a daily basis, notwithstanding any other document to be disseminated as specified by SEBI from time to time;

**FURTHER** this Power of Attorney **shall not** facilitate BFSL to do the following:

1. Transfer the rights in favour of any assignees of the Stock Broker / Depository Participant;
2. Transfer of funds from the bank accounts of the clients for trades executed by the clients through another stock broker;
3. Open a broking / trading facility with any stock broker or for opening a Beneficial Owner account with any Depository Participant;
4. Execute trades in the name of the client(s) without the client(s) consent;
5. Prohibit issue of Delivery Instruction Slips (DIS) to Beneficial Owner (client);
6. Prohibit client(s) from operating the account;
7. Merging balances (dues) under various accounts to nullify debit in any other account;
8. Open an email ID/ email account on behalf of the client(s) for receiving statement of transactions, bills, contract notes etc from Stock Broker/ Depository Participant;
9. Renounce liability for any loss or claim that may arise due to any blocking of funds that may be erroneously instructed by the Stock Broker to the designated bank.

**WHEREAS** I/we undertake to discharge my/our obligation towards any monies/ charges/ fees etc

incurred by BFSL under this Power of Attorney as and when the same becomes due for payment and or make the payment immediately on demand made by BFSL.

**WHEREAS** the Power of Attorney herein referred to is revocable without any notice at my/our instance except with respect to any outstanding settlement or any other obligations arising out of trades carried out prior to the request for revocation of this Power of Attorney.

**WHEREAS** the client agrees to execute a fresh Power of Attorney subsequent to change in constitution of the account maintained with BFSL.

**AND** I/we agree to ratify all lawful acts, deeds, matters, and things done by my Attorney (BFSL) pursuant to the powers hereinbefore mentioned.

This Power of Attorney shall be subject to the jurisdiction of the Courts in Bangalore.

Executed on \_\_\_\_\_ day of \_\_\_\_\_, (Month) 20\_\_\_\_ at \_\_\_\_\_ (Place)

1. First Holder Name : \_\_\_\_\_

Signature  \_\_\_\_\_

2. Second Holder Name : \_\_\_\_\_

Signature  \_\_\_\_\_

3. Third Holder Name : \_\_\_\_\_

Signature  \_\_\_\_\_

**Witnesses :**

1. Name : \_\_\_\_\_

Address : \_\_\_\_\_

Signature  \_\_\_\_\_

2. Name : \_\_\_\_\_

Address : \_\_\_\_\_

Signature  \_\_\_\_\_